

Popular Securities Report
for the Quarter Ending September 30, 2018

Summary Statistics

Venues Receiving Significant Percentage of Total Non-Directed Orders

Non-Directed Orders as Percentage of Total Customer Orders	100.00
Market Orders as Percentage of Total Non-Directed Orders	92.32
Limit Orders as Percentage of Total Directed Orders	6.00
Other Orders as Percentage of Total Non-Directed Orders	1.68

Citadel Securities LLC	42.35
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	43.85
Limit Orders As Percentage of total Limit Orders	21.71
Other Orders as Percentage of Total Other Orders	33.33

VIRTU Americas LLC	35.46
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	36.09
Limit Orders As Percentage of total Limit Orders	17.83
Other Orders as Percentage of Total Other Orders	63.89

G1 Execution Services, LLC	11.03
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	11.29
Limit Orders As Percentage of total Limit Orders	10.08
Other Orders as Percentage of Total Other Orders	0.00

Two Sigma Services, LLC	5.21
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	5.39
Limit Orders As Percentage of total Limit Orders	3.88
Other Orders as Percentage of Total Other Orders	0.00

Securities listed on the New York Stock Exchange

Securities
listed
on the
New York
Stock
Exchange

Cboe BYX U.S. Equities Exchange	2.51
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	2.67
Limit Orders As Percentage of total	
Limit Orders	0.78
Other Orders as Percentage of Total	
Other Orders	0.00
Cboe EDGX U.S. Equities Exchange	1.35
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.00
Limit Orders As Percentage of total	
Limit Orders	22.48
Other Orders as Percentage of Total	
Other Orders	0.00
New York Stock Exchange	0.65
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.00
Limit Orders As Percentage of total	
Limit Orders	10.85
Other Orders as Percentage of Total	
Other Orders	0.00
UBS Securities, LLC	0.65
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.66
Limit Orders As Percentage of total	
Limit Orders	0.00
Other Orders as Percentage of Total	
Other Orders	2.78

Securities
listed
on the
New York
Stock
Exchange

NASDAQ Execution Services, LLC	0.56
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	0.00
Limit Orders As Percentage of total Limit Orders	9.30
Other Orders as Percentage of Total Other Orders	0.00
Cboe BZX U.S. Equity Exchange	0.19
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	0.00
Limit Orders As Percentage of total Limit Orders	3.10
Other Orders as Percentage of Total Other Orders	0.00

Securities
listed
on the
NASDAQ
Stock Market

Non-Directed Orders as Percentage of Total Customer Orders	100.00
Market Orders as Percentage of Total Non-Directed Orders	81.56
Limit Orders as Percentage of Total Directed Orders	15.75
Other Orders as Percentage of Total Non-Directed Orders	2.68

Citadel Securities LLC	41.90
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	44.38
Limit Orders As Percentage of total Limit Orders	22.70
Other Orders as Percentage of Total Other Orders	79.17

VIRTU Americas LLC	33.97
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	35.62
Limit Orders As Percentage of total Limit Orders	27.66
Other Orders as Percentage of Total Other Orders	20.83

G1 Execution Services, LLC	11.96
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	12.47
Limit Orders As Percentage of total Limit Orders	11.35
Other Orders as Percentage of Total Other Orders	0.00

Cboe EDGX U.S. Equities Exchange	3.69
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	0.00
Limit Orders As Percentage of total Limit Orders	23.40
Other Orders as Percentage of Total Other Orders	0.00

Securities
listed
on the
NASDAQ
Stock Market

Two Sigma Securities, LLC	3.58
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	4.11
Limit Orders As Percentage of total	
Limit Orders	1.42
Other Orders as Percentage of Total	
Other Orders	0.00
Cboe BYX U.S. Equities Exchange	2.57
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	3.15
Limit Orders As Percentage of total	
Limit Orders	0.00
Other Orders as Percentage of Total	
Other Orders	0.00
NASDAQ Execution Services, LLC	1.56
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.00
Limit Orders As Percentage of total	
Limit Orders	9.93
Other Orders as Percentage of Total	
Other Orders	0.00
Cboe BZX U.S. Equities Exchange	0.56
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.00
Limit Orders As Percentage of total	
Limit Orders	3.55
Other Orders as Percentage of Total	
Other Orders	0.00

Securities
listed
on the
NASDAQ
Stock Market

UBS Securities, LLC	0.22
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.27
Limit Orders As Percentage of total	
Limit Orders	0.00
Other Orders as Percentage of Total	
Other Orders	0.00

Securities
 listed on
 the NYSE
 MKT LLC
 or Regional
 Exchanges

Non-Directed Orders as Percentage of Total Customer Orders	100.00
Market Orders as Percentage of Total Non-Directed Orders	96.91
Limit Orders as Percentage of Total Directed Orders	2.52
Other Orders as Percentage of Total Non-Directed Orders	0.57

Citadel Securities LLC	42.11
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	42.62
Limit Orders As Percentage of total Limit Orders	27.27
Other Orders as Percentage of Total Other Orders	20.00

VIRTU Americas LLC	33.07
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	33.06
Limit Orders As Percentage of total Limit Orders	22.73
Other Orders as Percentage of Total Other Orders	80.00

G1 Execution Services, LLC	10.87
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	11.10
Limit Orders As Percentage of total Limit Orders	4.55
Other Orders as Percentage of Total Other Orders	0.00

Cboe BYX U.S. Equities Exchange	7.09
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	7.32
Limit Orders As Percentage of total Limit Orders	0.00
Other Orders as Percentage of Total Other Orders	0.00

Securities
listed on
the NYSE
MKT LLC
or Regional
Exchanges

Two Sigma Securities, LLC	4.69
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	4.84
Limit Orders As Percentage of total	
Limit Orders	0.00
Other Orders as Percentage of Total	
Other Orders	0.00
UBS Securities, LLC	0.69
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.71
Limit Orders As Percentage of total	
Limit Orders	0.00
Other Orders as Percentage of Total	
Other Orders	0.00
Cboe EDGX U.S. Equities Exchange	0.34
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.00
Limit Orders As Percentage of total	
Limit Orders	13.64
Other Orders as Percentage of Total	
Other Orders	0.00
NASDAQ Execution Services, LLC	0.34
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.00
Limit Orders As Percentage of total	
Limit Orders	13.64
Other Orders as Percentage of Total	
Other Orders	0.00

Securities
listed on
the NYSE
MKT LLC
or Regional
Exchanges

Popular Securities, Inc.	0.34
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.35
Limit Orders As Percentage of total	
Limit Orders	0.00
Other Orders as Percentage of Total	
Other Orders	0.00
NYSE American	0.23
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.00
Limit Orders As Percentage of total	
Limit Orders	9.09
Other Orders as Percentage of Total	
Other Orders	0.00

Exchange
listed
Options

Non-Directed Orders as Percentage of Total Customer Orders	100.00
Market Orders as Percentage of Total Non-Directed Orders	30.91
Limit Orders as Percentage of Total Directed Orders	60.91
Other Orders as Percentage of Total Non-Directed Orders	8.18

Cboe Options Exchange	21.82
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	29.41
Limit Orders As Percentage of total Limit Orders	11.94
Other Orders as Percentage of Total Other Orders	66.67

NASDAQ PHLX Options	15.45
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	14.71
Limit Orders As Percentage of total Limit Orders	14.93
Other Orders as Percentage of Total Other Orders	22.22

The MIAX Options Exchange	14.55
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	23.53
Limit Orders As Percentage of total Limit Orders	11.94
Other Orders as Percentage of Total Other Orders	0.00

NASDAQ Options Market	11.82
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total Market Orders	0.00
Limit Orders As Percentage of total Limit Orders	19.40
Other Orders as Percentage of Total Other Orders	0.00

ISE Gemini Options Exchange	10.91
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	5.88
Limit Orders As Percentage of total	
Limit Orders	14.93
Other Orders as Percentage of Total	
Other Orders	0.00
Cboe BZX Options Exchange, Inc.	7.27
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	2.94
Limit Orders As Percentage of total	
Limit Orders	10.45
Other Orders as Percentage of Total	
Other Orders	0.00
NYSE Arca Options	5.45
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	0.00
Limit Orders As Percentage of total	
Limit Orders	8.96
Other Orders as Percentage of Total	
Other Orders	0.00
BOX Option Exchange	5.45
Types of Orders Routed to Venue:	
Market Orders as Percentage of Total	
Market Orders	8.82
Limit Orders As Percentage of total	
Limit Orders	2.99
Other Orders as Percentage of Total	
Other Orders	11.11

Cboe EDGX Options Exchange	3.64
-----------------------------------	-------------

Types of Orders Routed to Venue:

Market Orders as Percentage of Total Market Orders	5.88
---	------

Limit Orders As Percentage of total Limit Orders	2.99
---	------

Other Orders as Percentage of Total Other Orders	0.00
---	------

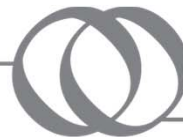
NYSE American Options	1.82
------------------------------	-------------

Types of Orders Routed to Venue:

Market Orders as Percentage of Total Market Orders	5.88
---	------

Limit Orders As Percentage of total Limit Orders	0.00
---	------

Other Orders as Percentage of Total Other Orders	0.00
---	------



POPULAR SECURITIES

POPULAR ONE®